

FEBRUARY 2016

ETH UPDATE

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Outline

- Overview
- CAPER Changes
- The ESG/HPP Funding Formula
- The 2016-2017 ETH Application

ETH: The Basics

	E mergency S olutions Grant (ESG)	T ransitional H ousing Program (THP)	H omeless P revention P rogram (HPP)
2016-2017 Funding	FY16 Allocation not released yet (Likely around \$3.4 million)	\$300,000	\$1,515,000
Source of Funds	Federal – HUD	State	State
Used for	Rapid Re-Housing Prevention Street Outreach Emer. Shelter Data Collection Administration	Transitional Housing Administration	Rapid Re-Housing Prevention Administration

Distribution of Funds

- ESG & HPP
 - Historically Distributed to Dane/Madison, Milwaukee, Racine, and 22 BOS Local CoCs.
 - HPP is used to match ESG.
- THP
 - Distributed to HUD CoCs.

FAQ: Why is funding broken up into county groupings that don't necessarily follow local COC boundaries?

				SOURCES & ALLOCATION	It is encouraged to allocate more to this category.	ESG allocation and the entire HPP Allocation	or some combination of all of the categories
(line)	ESG <i>(equal distribution of an amount equivalent to what was formerly allocated to the DGA HMIS project)</i>	ESG <i>(administrative)</i>	TOTAL ALLOCATION	FUNDING AREAS	HPP RR ESG RR	HPP RR ESG RR HPP PV ESG SH ESG DR ESG HMIS	HPP RR ESG RR HPP PV ESG SH ESG DR ESG HMIS
75	\$3,900	\$1,614	\$97,962	Green Lake, Fond du Lac Counties	\$17,474	\$40,774	\$38,100
82	\$3,900	\$1,343	\$9,138	Jefferson County	\$13,828	\$32,265	\$31,702
88	\$3,900	\$1,711	\$101,718	Walworth County	\$17,889	\$41,740	\$40,378
88	\$3,900	\$1,913	\$121,928	Waupaca, Waushara, Marquette, Portage Counties	\$22,457	\$52,400	\$45,157
57	\$3,900	\$2,689	\$155,612	Crawford, Vernon, Monroe Counties	\$26,839	\$62,625	\$63,459
07	\$3,900	\$1,443	\$83,803	Burnett, Clark, Rusk, Sawyer, Taylor, Washburn Counties	\$14,494	\$33,820	\$34,047
10	\$3,900	\$1,293	\$77,602	Manitowoc, Door, Kewaunee Counties	\$13,740	\$32,060	\$30,509
16	\$3,900	\$1,920	\$109,064	Florence, Forest, Langlade, Oneida, Vilas Counties	\$18,547	\$43,275	\$45,321
04	\$3,900	\$1,355	\$87,490	Lincoln, Wood Counties	\$16,246	\$37,908	\$31,980
44	\$3,900	\$2,014	\$118,271	Marinette, Menominee, Oconto, Shawano, Vilas Counties	\$20,621	\$48,116	\$47,521
04	\$3,900	\$1,223	\$67,478	Ashland, Bayfield, Iron, Price Counties	\$11,221	\$26,182	\$28,853
87	\$3,900	\$2,305	\$136,355	Adams, Columbia, Dodge, Juneau, Sauk Counties	\$23,898	\$55,762	\$54,390
48	\$3,900	\$2,069	\$115,480	Grant, Green, Iowa, Lafayette, Richland Counties	\$19,377	\$45,213	\$48,821
34	\$3,900	\$3,196	\$205,039	Barron, Dunn, Pepin, Pierce, Polk, St. Croix Counties	\$37,926	\$88,495	\$75,422
54	\$3,900	\$1,256	\$69,152	Buffalo, Jackson, Trempealeau Counties	\$11,474	\$26,772	\$29,650
40	\$3,900	\$698	\$60,234	Ozaukee County	\$12,915	\$30,136	\$16,484
56	\$3,900	\$1,262	\$98,811	Washington County	\$20,332	\$47,442	\$29,775
78	\$3,900	\$12,234	\$616,134	*Milwaukee County	\$138,596	\$323,391	\$141,913
67	\$3,900	\$2,502	\$169,667	Waukesha County	\$32,438	\$75,688	\$59,039
11	\$3,900	\$2,473	\$133,489	Winnebago County	\$21,798	\$50,861	\$58,358
61	\$3,900	\$4,894	\$255,583	Brown County	\$40,557	\$94,633	\$115,499
98	\$3,900	\$8,705	\$231,445	*Calumet and Outagamie Counties	\$36,529	\$85,234	\$100,977
65	\$3,900	\$3,099	\$161,651	Kenosha County	\$25,624	\$59,789	\$73,139
76	\$3,900	\$16,344	\$441,453	*Dane County	\$70,656	\$164,865	\$189,588
87	\$3,900	\$1,866	\$99,972	Marathon County	\$16,221	\$37,849	\$44,036
80	\$3,900	\$1,757	\$94,832	Douglas County	\$15,483	\$36,126	\$41,465
97	\$3,900	\$1,470	\$80,247	Sheboygan County	\$13,226	\$30,861	\$34,690
06	\$3,900	\$1,258	\$67,843	Chippewa County	\$11,071	\$25,833	\$29,681
05	\$3,900	\$3,410	\$179,990	Racine County	\$28,830	\$67,271	\$80,479
43	\$3,900	\$2,466	\$134,519	Eau Claire County	\$22,156	\$51,697	\$58,199
94	\$3,900	\$2,397	\$128,163	La Crosse County	\$20,757	\$48,432	\$56,577
27	\$3,900	\$4,336	\$228,287	Rock County	\$36,489	\$85,141	\$102,322

Answer: HPP state statutes require that 1/3 of funding be given to “Milwaukee Metro” 1/3 to “Other Metro,” and 1/3 to “Balance of State” counties.

- Milwaukee Metro:

- Ozaukee, Washington, Waukesha, Milwaukee.

- Other Metro:

- Winnebago, Brown, Calumet, Outagamie, Kenosha, Dane, Marathon, Douglas, Sheboygan, Chippewa, Racine, Eau Claire, La Crosse, Rock.

- Balance of State:

- NOT the same as the Balance of State COC.
- Rest of Counties.

ESG CAPER

ESG CAPER

- The ESG **C**onsolidated **A**nnual **P**erformance and **E**valuation **R**eport is the State's annual performance report to HUD.
- Reporting Period: April 1 – March 31.
- CAPER Changes
 - HUD substantially changed the CAPER format on October 1, 2015.
 - The State is required to use this new CAPER format in its upcoming CAPER submission.

Old ESG CAPER

Entry/Exit Types*	
<input checked="" type="checkbox"/> Basic	<input checked="" type="checkbox"/> HUD
<input type="checkbox"/> PATH	<input type="checkbox"/> Quick Call
<input checked="" type="checkbox"/> RHY	<input type="checkbox"/> Standard
<input type="checkbox"/> VA	<input type="checkbox"/> HPRP (Retired)

CR-65 ESG Persons Assisted	
Data Quality	
	Total
Clients without Entry records after 10/01/2014	6165
Missing Race Information	639
4a - Homeless Prevention Activities	
Number of Persons in Households	Total
Adults	7244
Children	5168
Don't Know/Refused	8
Missing Information	77
Total	12497
4b - Rapid Re-Housing Activities	
Number of Persons in Households	Total
Adults	1255
Children	1126
Don't Know/Refused	0
Missing Information	0
Total	2381
4c - Shelter Activities	
Number of Persons in Households	Total
Adults	8273
Children	2894
Don't Know/Refused	4
Missing Information	3
Total	11174
4d - Street Outreach	
Number of Persons in Households	Total
Adults	1568
Children	142
Don't Know/Refused	23
Missing Information	0
Total	1733
Clients Contacted (DQ)	1040
Clients Engaged (DQ)	970
4e - Total Persons Served	

New ESG CAPER



















- Each ESG/HPP funded Project will run the New ESG CAPER report.
 - Project: broken down by RRH, PV, OR, SH. One agency may have both RRH and PV, so will run two reports.
 - ~150 ESG/HPP-funded projects.
- Report will return a “zipped” folder containing many CSV files.

Zipped folder
 esg_report_1445447858783



If you are curious and
Right Click - Extract All

CSV Files

 Q5a
 Q6a
 Q6b
 Q7a
 Q7b
 Q9a
 Q9b
 Q10a
 Q10b
 Q10c
 Q10d
 Q11
 Q12a
 Q12b
 Q13a1
 Q13b1
 Q13c1
 Q14a

New ESG CAPER

- For those of you used to the Old ESG CAPER, the New ESG CAPER format will look very strange.
- HUD has provided an “eCART” tool that the State will use to upload this strange-looking data into a usable format.

~150 Zipped folders

 esg_report_1445447858783



State uploads ~150 zipped folders into eCART tool

Consolidated Data in eCart tool

46		Total	children	children and adults	children	household type
47	Total Households	0	0	0	0	0
48	7b. Point-in-Time Count of Households on the Last Wednesday Q7b					
49		Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
50						
51	January	0	0	0	0	0
52	April	0	0	0	0	0
53	July	0	0	0	0	0
54	October	0	0	0	0	0
55	Q9. Contacts and Engagements					
56	9a. Number of Persons Contacted Q9a					
57		Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
58						
59	a1. Contacted once?	0	0	0	0	0
60	a2. Contacted 2-5 times?	0	0	0	0	0
61	a3. Contacted 6-9 times?	0	0	0	0	0
62	a4. Contacted 10 or more times?	0	0	0	0	0
63	az. Total persons contacted	0	0	0	0	0

Potential Issues

- Currently, New ESG CAPER reports do not upload into eCART.
 - HUD & Bowman working to fix ASAP.
- eCART flags data errors. If a project's error rate is too high, DEHCR is required to request new data.
 - Please work to resolve data quality issues.
- DV Database (Osnium) can't currently produce New ESG CAPER.
 - DEHCR is working with HUD to find a temporary solution.
- Stay tuned for more information via email and ICA trainings.

ESG/HPP FUNDING FORMULA

ESG/HPP Funding Formula

- Allocates funding to HUD and local CoCs (i.e. how DEHCR calculates these numbers)



- Three Main Components

- Clients Served Data
- System Performance Measures
- Stabilizer – ties to past funding levels

HPP	ESG (competitive)	ESG (equal distribution of an amount equivalent to what was formerly allocated to the DOA NMIS project)	ESG (administration)	TOTAL ALLOCATION
\$31,772	\$60,675	\$3,900	\$1,614	\$97,962
\$24,062	\$49,832	\$3,900	\$1,343	\$79,138
\$31,569	\$64,538	\$3,900	\$1,711	\$101,718
\$43,477	\$72,638	\$3,900	\$1,913	\$121,928
\$45,367	\$103,657	\$3,900	\$2,689	\$155,612
\$24,654	\$53,807	\$3,900	\$1,443	\$83,803
\$24,598	\$47,810	\$3,900	\$1,293	\$77,602
\$30,327	\$72,916	\$3,900	\$1,920	\$109,064
\$31,931	\$50,304	\$3,900	\$1,355	\$87,490
\$35,714	\$76,644	\$3,900	\$2,014	\$118,271
\$17,352	\$45,004	\$3,900	\$1,223	\$67,478
\$41,863	\$88,287	\$3,900	\$2,305	\$136,355
\$30,664	\$78,848	\$3,900	\$2,069	\$115,480
\$74,009	\$123,934	\$3,900	\$3,196	\$205,039
\$17,641	\$46,354	\$3,900	\$1,256	\$69,152
\$31,596	\$24,040	\$3,900	\$698	\$60,234
\$47,083	\$46,566	\$3,900	\$1,262	\$98,811
\$359,222	\$240,778	\$3,900	\$12,234	\$616,134
\$67,099	\$96,167	\$3,900	\$2,502	\$169,667
\$32,105	\$95,011	\$3,900	\$2,473	\$133,489
\$54,928	\$191,861	\$3,900	\$4,894	\$255,583
\$48,642	\$170,198	\$3,900	\$8,705	\$231,445
\$34,587	\$120,065	\$3,900	\$3,099	\$161,651
\$98,233	\$322,976	\$3,900	\$16,344	\$441,453
\$23,469	\$70,737	\$3,900	\$1,866	\$99,972
\$22,794	\$66,380	\$3,900	\$1,757	\$94,832
\$19,980	\$54,897	\$3,900	\$1,470	\$80,247
\$16,279	\$46,406	\$3,900	\$1,258	\$67,843
\$40,175	\$132,505	\$3,900	\$3,410	\$179,990
\$33,410	\$94,743	\$3,900	\$2,466	\$134,519
\$29,872	\$91,994	\$3,900	\$2,397	\$128,163
\$50,525	\$169,527	\$3,900	\$4,336	\$228,287

1. Clients Served Data

- HMIS Data: Total Clients Served
 - More clients served = more funding
- HIC Data: Average Number of Clients Served on Last Wednesday of Month
 - More clients served (on average) = more funding

2. System Performance Measures

- 16-17 **POSSIBLE** Measures:
 - Average length of time persons remain homeless (excluding TH)
 - Less than 40 Days: 1 Point
 - Less than 50 Days: 0.5 Point
 - More than 50 Days: 0 Points
 - Reoccurrence to homelessness within 2 years
 - Less than 10%: 1 Point
 - Less than 20%: 0.5 Point
 - More than 20%: 0 Points
 - Reduction in the number of persons who become homeless for the first time from FFY14-FFY15
 - Reduction of more than 10%: 1 Point
 - Reduction of more than 0%: 0.5 Point
 - Increase: 0 Points
 - Successful Placement in or Retention of Permanent Housing
 - More than 60% exit to PH: 1 Point
 - More than 45% exit to PH: 0.5 Point
 - Less than 45% exit to PH: 0 Points
- More points = More funding
- Future Potential Measures:
 - Income/Employment Growth, improvement over time

3. Stabilizer – Past Allocations

- Takes past allocations into account
- Used in years when changes are made to formula components.
- Keeps funding from changing substantially year to year.

2016-2017 ETH APPLICATION

2016-2017 ETH Application

- Application Posting: *hopefully* mid-March
 - Dependent on HUD release of FY16 ESG Allocation.
- Application Due: ~Early May
- Though the ETH App isn't posted, start planning now!
 - Who in your local CoC is interested in applying?
 - What do you need to do to be certified by your HUD COC?
 - What data will you use to distribute ETH funds amongst local COC agencies?

Changes to ETH Application

- Streamlining Application:
 - General format will remain the same.
 - Less repetition.
 - Funding Caps simplified.
- Big Focus on Performance of Local Homeless Systems.

Local System Performance

- Though ETH is only one of many funding sources, the application period is a great time to look critically at your local homeless service system.
 - What are the service gaps in your local CoC? How can you close them?
 - Do some ETH funded providers have substantially better outcomes than others? Why? Should funding levels reflect this?
 - Is your local CoC struggling in any areas as measured by the system performance measures? Why? How can you change this?

New Requirement

- HUD and local COCs will be required to use performance data/evidence in their funding allocation decisions, and explain the rationale behind these decisions.
 - Example: Could look at occupancy rate from HIC, average length of stay, positive placement, etc. when distributing funds to shelters.
- Local Control: DEHCR will not mandate the use of any specific data type.

Special Competitive Allocation

- Special Competitive Allocation: ~\$100,000-\$200,000.
 - Majority of this funding was left unspent in 13-14 and 14-15 years.
- DEHCR will score each applicant's use of performance data/evidence in allocating ETH funds, and each HUD or local CoC's plan to improve system performance.
- The ~2-4 highest scoring applicants will be awarded a special allocation of additional ETH funds.
 - HUD/local CoCs who are interested in being considered will be asked how they would use this special allocation in the application.

Questions?